Affective Computing CSCI534

Spring 2022

Objective:
Affective Computing is computing that relates to, arises from, or deliberately influences emotions. This course overviews the theory of human emotion (how it arises from and influences cognition, the body and the social environment), techniques for recognizing and synthesizing emotional behavior, and illustrates how these can be applied to application design. Students will gain a strong background in the theory and practice in human-centered computing as it relates to health, entertainment and pedagogical applications.

Instructor: Jonathan Gratch
TA: Rens Hoegen <rhoegen@ict.usc.edu>
Date/Time: Mon, Wed 200-3:50, THH210
Grades: Grades determined by class participation 10%, mid-term project presentation 15%, homework 40%, final project presentation 15%, final project writeup 20%

Class participation is expected and part of the grade. Lectures will often involve demonstrations and exercises that involve group participation. Thus, students are expected to attend class and participate in in-class activities.

The course is largely project based. Students are expected to work in teams (of approximately 4 students) to develop, execute and present a research project. Students are encouraged to build on existing tools. A list of some pre-existing software tools available to students, and summaries of some prior student projects build with these tools can be found HERE. Project guidelines can be found HERE.

Software: Students will gain knowledge and/or hands-on experience with the following software tools related to affective computing including:

- Emotion Recognition Techniques
- Emotion Synthesis Techniques
- Cognitive and Emotional Modeling
- Algorithms and tools that support the above methods
- Human-subjects experimental design and analysis
- Ethical issues in AI

Note to Instructors: Other instructors are welcome to use these course materials. Please acknowledge the original source in footer of slides. Note some slides have been borrowed from

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other instructors as noted in the footers of presentation material.
Course Outline

(WARNING: Below has yet to be updated for 2022). Most current version will be HERE. Don’t get more than 1 week ahead on readings. The same topics will be presented but some changes to reading lists may occur. Homework will be added.

Lecture 1 (Wed, Jan 20) Course Overview. Introduction to Affective Computing
- Review structure of course
- What is affective computing?
- Broadly overview functions of affect and why of interest to computer science
- Overview applications that take advantage of these perspective
- Homework 1: Emotion prediction (posted on blackboard): Estimated time, 20-30 min, Due Sunday Jan 24th, midnight
- Readings:
  - Gratch 2021: The field of Affective Computing: An interdisciplinary Perspective
- Optional background readings:
  - OHAC, Chapter 1. Summarizes major topics in affective computing
  - Are we now in the era of affectivism? Draft article illustrating the growing importance of affective science
- Lecture slides, webinar

Lecture 2 (Mon, Jan 25) Emotion Theory
- Define affective phenomena (emotion, mood, attitude/sentiment, personality)
- What is theory and why does it matter?
- Review alternative theoretical perspectives on emotion
- Suggested Reading:
  - OHAC, Chap 3. Short history of psychological perspectives on emotion
- Optional background readings
  - The science of ‘Inside Out’: Short piece by Dacher Keltner and Paul Ekman about the Pixar movie
- Lecture slides, webinar

Lecture 3 (Wed, Jan 27) Emotion Theory (continued)
- Dual-process theories of emotion
- Constructivist theories
- Appraisal theories (continued)
- Homework 2 (part 1): Appraisal modeling (short online survey, Due Jan 31, 11:59pm)
- Optional Readings
  - Ellsworth and Scherer 2003: review of appraisal theories
  - Smith and Lazarus 1990: description of their appraisal and coping theory
- Lecture slides, webinar

Lecture 4 (Mon, Feb 1) Giving Computers Emotion (part 1)
- Discuss ways to make machines “have” emotions
- Introduce Computational Appraisal Theory
- Homework 2 (part 2): Appraisal modeling (Due Feb 5, 11:59pm)
- Reading:
  - Marsella and Gratch (2009), sections 1.1, 1.2, 2 and 3: describes model of “the Bird”
- Optional reading:

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o Emotional Calculator: a short description of how the Emotion Calculator (HW1) was created
o Emotion Analyst: a published paper describing how the emotion analyst works.

Lecture 5 (Wed, Feb 3) Giving Computers Emotion (part 2)
- Discussion of reinforcement learning based approaches to appraisal modeling
- Discuss framework for evaluating computational appraisal models
- Homework 3 (part 1): Short decision-making survey (Due Feb 7, midnight)
  *chance to earn some extra credit*
- Reading
- Optional reading:
  o Moerland et al. (2018): Survey of Emotion in Reinforcement Learning
- Lecture slides, webinar

Lecture 6 (Monday, Feb 8) Emotion and Decision-making
- Review rational choice theory (decision theory)
- Contrast between rational models and human decision making
- Homework 3 (part2): Decision modeling (Due Feb 17, midnight)
- Homework 4 (Part 1): Complete short survey in advance of Lecture 4 (Due Feb 9, midnight)
- Reading:
  o Lowenstein and Lerner 2003, p620-633. You should understand figure 31.1
- Strongly encouraged:
  o Watch NOVA’s “Mind over Money”
- Optional Reading:
  o Lerner video interview: Outlines alternative theories of emotion
  o Mellers et al 1999: A model of how emotions shape decisions – we will discuss in class
- Lecture slides, webinar

Lecture 7 (Wed, Feb 10) Experimental Design, Methodology and Analysis
- Guest Lectures by Gale Lucas, USC ICT
- Homework 4 (part 2): Skipping this one due to schedule snafu (may add HW at end)
- Reading:
  o SparkNotes reading on Research Methods in Psychology, a good summary of research methods. You have to click through each section separately to read.
- Recommended Reading
  o Its only a computer: This study will be discussed as part of lecture
- Lecture slides, webinar

February 15: President’s Day (no class)

Lecture 8 (Wed, Feb 17) Emotion and the Brain
- Overview of physiological and brain Computing
- Focus on some affective computing approaches to brain measurement
- Homework 5: Classifying physiological signals (Due Feb 28, midnight)
- Reading (Sep24):
  o Fairclough 2009 – Fundamentals of physiological computing
- Optional Reading:
Lecture 9 (Mon, Feb 22) Group project discussion
- Discussion of group projects. Explore topics and tentative teams
  - Expect students to sit in tentative groups. Use class time to develop your ideas. I will circulate through class, expect short “pitches” and give feedback

Lecture 10 (Wed, Feb 24) Emotion and the Body
- Overview psychophysiological impacts of emotion
  - Review biopsychosocial model of challenge / threat
  - Review physiological manifestation of coping responses
  - Discuss cardiovascular measures of emotion and coping
  - Project descriptions: 1 paragraph tentative project description and team list (Due Feb 25, 11:59pm)
- Reading:
  - Blascovich & Mendes 2010: Reviews psychophysiological findings. Only required to read following sections:
    - Neurophysiological systems, advantages & Indices (p199-203)
    - Uses [affect, attitudes, emotion] (p 210-215)
- Optional Reading:
  - OHAC, Chap 14: Reviews physiological sensing of emotion
- Lecture slides, webinar

Lecture 11 (Mon, Mar 1) Bodily Expression of Emotion
- Physical manifestation of peripheral psychophysiology
- Embodied theories of emotion
- Reading: Niedenthal 2007: Discusses embodied approaches to emotion
- Optional Reading:
  - Zacharatos et al. 2014. A survey of automatic emotion recognition based on body movement analysis
- Lecture slides, webinar

Lecture 12 (Wed, Mar 3) Group Project Proposal Presentations
- Students will give 5min presentations of their project
- webinar

Lecture 13 (Mon, Mar 8) Synthesis of Emotional Behavior
- Homework 6 (part 1): Behavioral game theory (Due Mar 10, before class)
- Encoding-Decoding: realistic vs. communicative approaches
- Expression synthesis techniques
- Demonstration of virtual human toolkit (Guest lecture, Arno Hartholt)
- Reading: OHAC, Chapter 18, Section 2 only; Digital expression synthesis
- Reading: OHAC, Chapter 21, Section 3 only; Robotic expression synthesis
- Optional Reading:
  - Parkinson2008: Emotions in social interactions
Lecture 14 (Wed, Mar 10) Emotion and Social Interaction I
- completing before class on Oct 10
- Review rational choice theory (game theory)
- Review of behavioral game theory
  - Other-regarding preferences
- Psychological Distance and Mind perception theory
- **Homework 7: Affect Control Theory** (Due Mar 18, 11:59pm)
- Reading: *Game Theory Introduction*, p1-11
- Optional Reading:
  - Fund game about learning game theory: [https://ncase.me/trust/](https://ncase.me/trust/)
  - *Behavioral Game Theory* (from handbook on judgment and decision-making)
  - *Fehr and Schmidt* on other-regarding preferences
- Lecture slides, webinar

Lecture 15 (Mon, Mar 15) Emotion and Social Interaction II
- Theories of the social impact of emotion expressions
  - Emotion as contagion
  - Emotion as social information (Reverse Appraisal Theory)
- Computational Models: Affect Control Theory
- Reading: *de Melo et al 2014*, introduction, exp1, and general discussion: describes “reverse appraisal”
- Optional Reading: *Affect Control Theory*
- Optional Reading: *Keltner and Haidt 1999*: discusses social functions of emotions
- Lecture slides, webinar

Lecture 16 (Wed, Mar 17) Emotion and Social Interaction III
- Fridlund vs. Ekman: Are expressions basic emotions or social motives?
- Emotional Labor
- Role of affective computing in emotional labor
- **Homework 6 (part 2): Behavior game theory and emotional manipulation** (Due March 24, 11:59p)
- Optional Reading: *Scarantino, 2017*: Gives nice review of theories of facial expressions and proposed model of emotion displays as “speech acts”
- Lecture slides, webinar

Lecture 17 (Mon, Mar 22) Emotion and Social Interaction IV: Deception and Manipulation
- Negotiation as a challenge problem for affective computing
- Manipulative emotions
- Optional Reading:
  - *Okekalns2015*: How emotions shape negotiation
  - *Gratch et al 2015*: the misrepresentation game
- Lecture slides, webinar

Lecture 18 (Wed, Mar 24) Personality and Culture
- Personality computing

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Review Lens model
Discuss automatic personality recognition, perception, synthesis

- Personality (and motivation) in computer games
- Limitations of personality computing approach
- Sacred values and Moral decision making

**Homework 8: Recognizing emotion from text** (Due Mar 30, 11:59p)
**Optional Reading:**
- Haidt and Graham 2007: review of moral foundation theory
- Vinciarelli and Mohammadi 2014: survey of personality computing
- Yee et al 2011: expression of personality in World of Warcraft
- Connelly and Ones 2010: Discusses limits of personality approach

**Lecture 19 (Mon, Mar 29) Rapport and Social Interactivity**
- Emotional feedback and attunement
**Optional Reading:** Parkinson 2014: reviews theories of social emotions
**Optional Reading:** Tutorials on nonlinear methods
**Lecture slides, webinar**

**Lecture 20 (Wed, Mar 31) Emotion Recognition I: Emotion in Text**
- Reading: OHAC, Chapter 13; Recognizing affect from text
**Lecture slides, webinar**

**Lecture 21 (Mon, Apr 5) Emotion Recognition II: Emotions in the face**
- Impact of context in emotion recognition
- **Homework 9: Recognizing facial expressions** (Due Apr 16)
- Reading: OHAC, Chapter 10; Face expressions
- Optional Reading: Bin Lu, Web Data Mining Chap11
- Optional Reading: Barrett et al 2011; Contextual influences on emotion perception
**Lecture slides, webinar**

April 7: Wellness Day (no class)

**Lecture 22 (Mon, Apr 12) Emotion Recognition IV: Emotion in speech**
- Emotion in speech
- Reading: OHAC, Chapter 12; recognizing affect from speech
**Lecture slides, webinar**

**Lecture 23 (Wed, Apr 14) Emotion Recognition III: Learning representations and multimodal**
- Guest Lecture: Mohammad Soleymani
- Multimodal techniques and machine learning
- Recommended Reading: Baltrušaitis et al 2018: Survey of Multimodal ML approaches
- Optional Reading: D’Melo et al 2015: Another survey of MM ML approaches
- Project reminder, Lecture slides, webinar

**Lecture 24 (Mon, Apr 19) Bias and Ethics of Affective Computing**
- Discuss theories of how social machines might help or hinder human social interactions
• Potential for Bias
• Discuss ethical frameworks
• Reading: OHAC, Chapter 14
• Optional Reading: Robot sex: discusses ethics of building robots that have relations with people
• Optional Reading: Turkle 2010: Discussion of robot companions
• Lecture slides, webinar

Lecture 25 (Wed, Apr 21) Aesthetic Emotions
• Discuss techniques to classify the “emotion” of music. Recommender systems
• Optional Reading: Juslin 2013: Unified theory of musical emotions
• Optional Reading: Yang and Chen 2012: Review of emotion recognition in music
• Optional Reading: Renfrow et al 2011: Five-factor labeling scheme for music
• Lecture slides, webinar

Lecture 26 (Mon, Apr 26) Final Project Presentations
• webinar

Lecture 27 (Wed, Apr 28) Final Project Presentations
• webinar

May 7: Final project writeup due