CS 599: Computational Models of **Dialogue Modelling - Fall 2005** Lecture 2: Intro to Dialogue Structure & simple Dialogue models **David Traum** traum@ict.usc.edu http://www.ict.usc.edu/~traum



# Outline

- Followup
  - Email list
  - Homework Discussion
  - Question Discussion
- Today's topics
  - Dialogue Structure
    - Local structure
    - Global structure
  - Eliza & Chat systems
  - Finite State systems
    - RAD



## **Dialogue Structure**

- Local
  - What binds utterances together?
  - How is one utterance (in)coherent when following another?
- Global
  - What is the structure of a conversation?
  - What is the structure of a task (that a conversation is "oriented" to)?
- How many levels of structure are there?



### Local Dialogue Structure

- Utterances organized in turns
- Coherence between turns (or utterances)
  - Adjacency pairs
  - Exchange structure
  - IR(A) units
  - Games



# Turn-taking (Sacks & Schegloff)

- Turns composed of one or more smaller utterance units (Turn Constructional Units = TCUs)
- Transition relevance places (TRPs)
- Signals of TRP (and pre-trp)
- Self and other selection



# Adjacency Pairs (Schegloff & Sacks)

### • Sequences with features

- Two utterance length
- Adjacent
- Produced by different speakers
- Typology in production
  - Pair type including First part & second part
    - E.g., Q&A, greeting-greeting, offer-acceptance
- Orientation towards Adjacency Pair
  - Conditional relevance
  - Preferred & dispreferred 2nd parts
    - Hesitations, apologies & qualifications
  - Repairs and apologies



### **Other Local organizations**

- Exchange
- IR Unit
- Game
  - Can be more than two utterances in sequence



## Global dialogue structure

- Conversation phases
  - Opening
    - Engagement
    - Greetings
    - Preambles/agendas
  - Body
    - topics
  - Closing
    - Pre-closings
    - Termination bids
    - farewells



### **Task Structure**

- Hierarchical & sequential tasks
  - Linear precedence
  - Immediate dominance
- Topic stack
- Topic transitions
  - Push
  - Pop
  - Pop-push





Topic changes when focus stack changes.

# Simple Organizational Structures

- Script
- Local
  - Exchange
    - Word-based
      - Keyword spotting
      - Advanced techniques
        - » AIML recursion
        - » Statistical Language model
    - Meaning-based
      - Speech acts
- Grammar
- Tree/FSM



### Example Script: Scene 1 Monty Python & the Holy Grail



- ...
- ARTHUR: Well, it doesn't matter. Will you go and tell your master that Arthur from the Court of Camelot is here?
- **SOLDIER #1:** Listen. In order to maintain air-speed velocity, a swallow needs to beat its wings forty-three times every second, right?
- **ARTHUR:** Please!
- **SOLDIER #1:** Am I right?
- ARTHUR: I'm not interested!
- **SOLDIER #2:** It could be carried by an African swallow!
- **SOLDIER #1:** Oh, yeah, an African swallow maybe, but not a European swallow. That's my point.
- **SOLDIER #2:** Oh, yeah, I agree with that.

# Eliza

- Local organization
- Produce response based on analysis of input
  - Keyword spotting
  - Pattern recognition
  - Pattern selection
  - Transformation rules
- Example: emacs Doctor program
- Example 2: CL simple-eliza rules
- http://hampshire.edu/lspector/courses/eliza-simple.lisp



### **Advanced Patterns: AIML**

- XML Syntax
- Stimulus-response interaction
- Categories
  - Pattern
  - Template



# **AIML: Advanced**

### • Srai operator

- Synonyms
- Splitting patterns
- conditionals
- Context
  - That
  - Topic
  - set
- System calls
- Get & set variables



### Speech Acts

- How to "Do things" with words
  - Look at actions & effects of utterances rather than truth-conditions
  - Types of acts
    - Locutionary
    - Illocutionary
    - Perlocutionary



## Types of Illocutionary act

- Representatives
- Directives
- Commissives
- Expressives
- declarations



# Issues for computational theory of speech acts

- When can an act be recognized (as sincere and successful)?
- What are the effects of performance
  of an act
  - On state of hearer and speaker
  - On state of dialogue
- When should act be performed?
- How should act be performed?



# Speech-act related Adjacency Pairs

- Question-Answer
- Propose-Accept/reject/challenge,...
- Offer-accept/decline
- Compliment-refusal/thanks
- Greeting-greeting



### Dialogue Grammar

- Specify set of legal moves to be a "legal" dialogue
- Specify set of moves at any given point
- Specify context update



### FSM Dialogue model

- Set of states
- Set of moves from each state
- Transitions to new state



### **Dialogue Acts in FSM**

- Inform convey information
- Question set context for inform
- Answer inform after question
- Confirm show understanding
- directive ask for an action to be done
- Action do an action



# Example: 'Bridge of Death' Scene from Monty Python & the Holy Grail



![](_page_22_Picture_2.jpeg)

### **BoD: Preamble**

- GALAHAD: There it is!
- **ARTHUR:** The Bridge of Death!
- **ROBIN:** Oh, great.
- **ARTHUR:** Look! There's the old man from scene twenty-four!
- **BEDEVERE:** What is he doing here?
- **ARTHUR:** He is the keeper of the Bridge of Death. He asks each traveller five questions--
- **GALAHAD:** Three questions.
- ARTHUR: Three questions. He who answers the five questions-
- GALAHAD: Three questions.
- **ARTHUR:** Three questions may cross in safety.
- **ROBIN:** What if you get a question wrong?
- ARTHUR: Then you are cast into the Gorge of Eternal Peril.

# BoD: Preamble (2)

- **ROBIN:** Oh, I won't go.
- **GALAHAD:** Who's going to answer the questions?
- **ARTHUR:** Sir Robin!
- **ROBIN:** Yes?
- ARTHUR: Brave Sir Robin, you go.
- **ROBIN:** Hey! I've got a great idea. Why doesn't Launcelot go? **LAUNCELOT:** Yes. Let me go, my liege. I will take him singlehanded. I shall make a feint to the north-east that s--**ARTHUR:** No, no. No. Hang on! Hang on! Hang on! Just answer the five questions--
- GALAHAD: Three questions.
- **ARTHUR:** Three questions as best you can, and we shall watch... and pray.
- LAUNCELOT: I understand, my liege. ARTHUR: Good luck, brave Sir Launcelot. God be with you.

## BoD: Dialogue 1: Lancelot

- **BRIDGEKEEPER:** Stop! Who would cross the Bridge of Death must answer me these questions three, ere the other side he see.
- LAUNCELOT: Ask me the questions, bridgekeeper. I am not afraid.
- BRIDGEKEEPER: What... is your name? LAUNCELOT: My name is 'Sir Launcelot of Camelot'.
- BRIDGEKEEPER: What... is your quest? LAUNCELOT: To seek the Holy Grail. BRIDGEKEEPER: What... is your favourite colour? LAUNCELOT: Blue.
- BRIDGEKEEPER: Right. Off you go.
- LAUNCELOT: Oh, thank you. Thank you very much.

# BoD: Dialogue 2: Robin

- **BRIDGEKEEPER:** Stop! Who approacheth the Bridge of Death must answer me these questions three, ere the other side he see.
- **ROBIN:** Ask me the questions, bridgekeeper. I'm not afraid.
- **BRIDGEKEEPER:** What... is your name?
- **ROBIN:** 'Sir Robin of Camelot'.
- **BRIDGEKEEPER:** What... is your quest?
- ROBIN: To seek the Holy Grail.
- BRIDGEKEEPER: What... is the capital of Assyria? [pause]
- ROBIN: I don't know that! Auuuuuuugh!

## BoD: Dialogue 3: Galahad

- **BRIDGEKEEPER:** Stop! What... is your name?
- GALAHAD: 'Sir Galahad of Camelot'.
- **BRIDGEKEEPER:** What... is your quest?
- GALAHAD: I seek the Grail. BRIDGEKEEPER: What... is your favourite colour?
- GALAHAD: Blue. No, yel-- auuuuuuuuugh! BRIDGEKEEPER: Hee hee heh.

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# BoD: Dialogue 4: Arthur

- **BRIDGEKEEPER:** Stop! What... is your name?
- **ARTHUR:** It is 'Arthur', King of the Britons. **BRIDGEKEEPER:** What... is your quest?
- ARTHUR: To seek the Holy Grail.
- BRIDGEKEEPER: What... is the air-speed velocity of an unladen swallow?
- ARTHUR: What do you mean? An African or European swallow?
- BRIDGEKEEPER: Huh? I-- I don't know that. Auuuuuuugh!
- **BEDEVERE:** How do know so much about swallows?
- **ARTHUR:** Well, you have to know these things when you're a king, you know.

### Bridgekeeper: Local structure

• (left as an exercise)

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## Bridgekeeper: FSM

• Draw on board

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